

Minutes Regulatory Oversight Committee Open Meeting

February 12, 2025 | 10:00-11:00 a.m. Eastern
In-Person

JW Marriott Miami
1109 Brickell Ave
Miami, FL 33131

Conference Room: Grand Ballroom (5th floor)

Committee Members

Mr. Robin E. Manning, Chair, called to order the duly noticed open meeting of the Board of Trustees Regulatory Oversight Committee (ROC or Committee) of the North American Electric Reliability Corporation (NERC) on February 12, 2025, at approximately 10:00 a.m. Eastern Time, and a quorum was declared present.

Present at the meeting were:

Committee Members

Robin E. Manning, Chair
Larry Irving
Susan Kelly
Kristine Schmidt
Kenneth W. DeFontes, Jr., *ex officio*

Board of Trustees Members

James B. Robb, NERC President and Chief Executive Officer
Robert G. Clarke
Suzanne Keenan
Jim Piro
Colleen Sidford

NERC Staff

Tina Buzzard, Assistant Corporate Secretary
Manny Cancel, Senior Vice President and CEO of the E-ISAC
Shamai Elstein, Associate General Counsel
Howard Gugel, Vice President, Compliance Assurance and Registration
Kelly Hanson, Senior Vice President, Chief Operating Officer
Soo Jin Kim, Vice President, Engineering and Standards
Mark Lauby, Senior Vice President and Chief Engineer
Justin Lofquist, Director of Enterprise Applications Architecture
James McGrane, Senior Counsel
Kimberly Mielcarek, Vice President, Communications
Kaiesha Morgan, Program Specialist, Corporate Governance and Enforcement
Lonnie Ratliff, Director, Compliance Assurance and Certification
Sônia Rocha, Senior Vice President, General Counsel, and Corporate Secretary

Camilo Serna, Senior Vice President, Strategy and External Engagement
Andy Sharp, Vice President, Chief Financial Officer
Teri Stasko, Assistant General Counsel and Director of Enforcement
Jim Stuart, Director, Registration

Introduction and Chair's Remarks

Chair Manning welcomed the members of the ROC and other attendees to the meeting. Chair Manning provided a brief summary of the February 11, 2025 Closed Committee Meeting, noting the Committee received information from staff on an effort to analyze the operations of the ballot body. He thanked the many industry volunteers who participated in that effort and asked that the product serve as an input to the new task force that will review the standards process more holistically.

NERC Antitrust Compliance Guidelines

Chair Manning directed the participants' attention to the NERC Antitrust Compliance Guidelines included in the advance agenda package and indicated that all questions regarding antitrust compliance or related matters should be directed to Ms. Rocha.

Reliability Standards

Ms. Kim provided an update on high-priority projects, including the recent use of 321 for Reliability Standards PRC-029-1 and EOP-012-3. She encouraged industry to participate in the comment period for EOP-012-3.

Align History, Governance and Maturity

Messrs. Thomas, Tomashefsky, and Lofquist provided an overview of the history and governance of Align and the Secure Evidence Locker (SEL). Mr. Thomas provided a brief description of the Align governance model. He also shared a Regional Entity perspective of Align and the SEL. Mr. Tomashefsky provided an industry perspective and shared how industry feedback is provided to the Align User Group. Mr. Lofquist discussed Align maturity.

Compliance Monitoring and Enforcement Program Implementation Plan

Mr. Ratliff explained that the Compliance Monitoring and Enforcement (CMEP) Implementation Plan (CMEP IP) is the annual operating plan used by the ERO Enterprise in performing CMEP responsibilities and duties. He further explained that the risk elements identified in the CMEP IP serve as inputs in determining the appropriate monitoring of risks and related Reliability Standards and requirements in the Compliance Oversight Plan for each registered entity.

Compliance Monitoring and Enforcement Program and Organization Registration and Certification Program Annual Report

Messrs. Stuart, Ratliff, and McGrane presented the 2024 CMEP and ORCP Annual Report, noting 2024 ORCP and CMEP key accomplishments and 2025 CMEP and ORCP priorities. They also provided information and statistics regarding 2024 CMEP and ORCP activities.

Closing Remarks and Adjournment

Chair Manning expressed appreciation for the work done to date on this important Reliability Standard as well as excitement to continue stakeholder involvement to ensure the timely development of revisions to Reliability Standard EOP-012-2 as directed by FERC.

Adjournment

There being no further business, the meeting was adjourned.

Submitted by,

A handwritten signature in black ink, appearing to be 'SR' with a long horizontal flourish extending to the right.

Sônia Rocha
Corporate Secretary